

# Federated Learning

Institute of Computing  
**Unicamp**

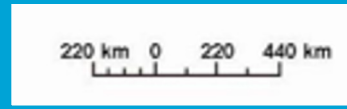
[www.ic.unicamp.br](http://www.ic.unicamp.br)

Where are we?

Atlantic Ocean

Equator

Pacific Ocean



VENEZUELA

GUYANA

SURINAME

FRENCH GUIANA

COLOMBIA

RAIMA

AMAPÁ

ECUADOR

Manaus

Amazon River

Fortaleza

AMAZONAS

PARÁ

MARANHÃO

CEARÁ

RIO GRANDE DO NORTE

PARAÍBA

Recife

ALAGOAS

SERGIPE

Aracaju

PERU

ACRE

RONDÔNIA

MATO GROSSO

TOCANTINS

BAHIA

Salvador

BOLIVIA

GOIÁS

Brasília

MINAS GERAIS

MATO GROSSO DO SUL

Belo Horizonte

ESPÍRITO SANTO

RIO DE JANEIRO

PARAGUAY

PARANÁ

São Paulo

Rio de Janeiro

ARGENTINA

RIO GRANDE DO SUL


SANTA CATARINA

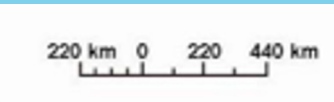
URUGUAY

# Where are we?



~2.6 Million People  
(Metro Region) 

~20 Million People  
(Metro Region) 



●●●● Campinas





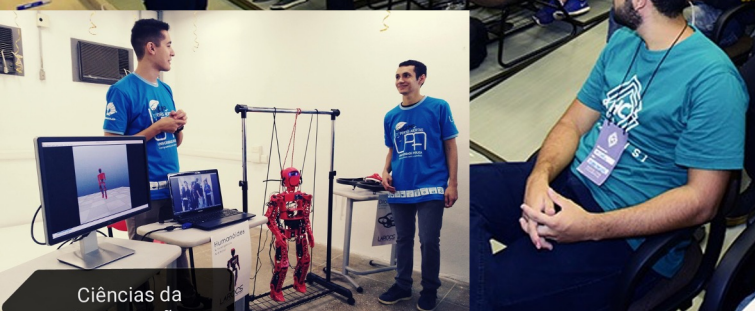
# Our home



Alan Turing

Ada Lovelace







**H.IAAC** | HUB DE INTELIGÊNCIA  
ARTIFICIAL E ARQUITETURAS  
COGNITIVAS







# Viva Bem team

55 people



**Institute of Computing  
(IC)**

**Institute of Physics  
"Gleb Wataghin" (IFGW)**

**Faculty of Physical  
Education (FEF)**

**School of Electrical and  
Computer Engineering  
(FEEC)**



# Viva Bem team



**Coordinator**  
**Prof. Anderson Rocha**



**Vice coordinator**  
**Prof. Leandro Villas**



**Lead Researcher**  
**Prof. Rickson Mesquita**



**Lead Researcher**  
**Prof. Marco Uchida**



**Lead Researcher**  
**Prof. Fernando Von Zuben**



**Associate Researcher**  
**Prof. Nelson Fonseca**



**Associate Researcher**  
**Prof. Esther Colombini**



**Associate Researcher**  
**Prof. Heitor Soares  
Ramos Filho**



**Associate Researcher**  
**Prof. Marcelo Reis**



**Collaborating Researcher**  
**Prof. Milton Shoiti Misuta**



**Collaborating Researcher**  
**Prof. Zanoni Dias**



**Collaborating Researcher**  
**Prof. Daniel Ludovico  
Guidoni**



**Collaborating Researcher**  
**Prof. Breno França**



# Our Goals



PROPOSE

Continuous **monitoring of the health and well-being** of individuals through wearable devices;

PROPOSE

New **health methods and algorithms** to be incorporated into **mobile and wearable devices**;

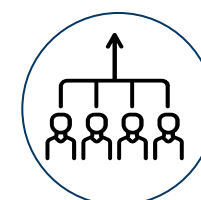
PROPOSE

New **health and well-being services based on mobile and wearable technologies**.

**Innovative and disruptive research** in AI for health and well-being



# Research lines



**HEALTH AND  
WELL-BEING:  
NEUROSCIENCE**

**HEALTH AND  
WELL-BEING:  
PHYSICAL  
EDUCATION**

**ARTIFICIAL  
INTELLIGENCE**

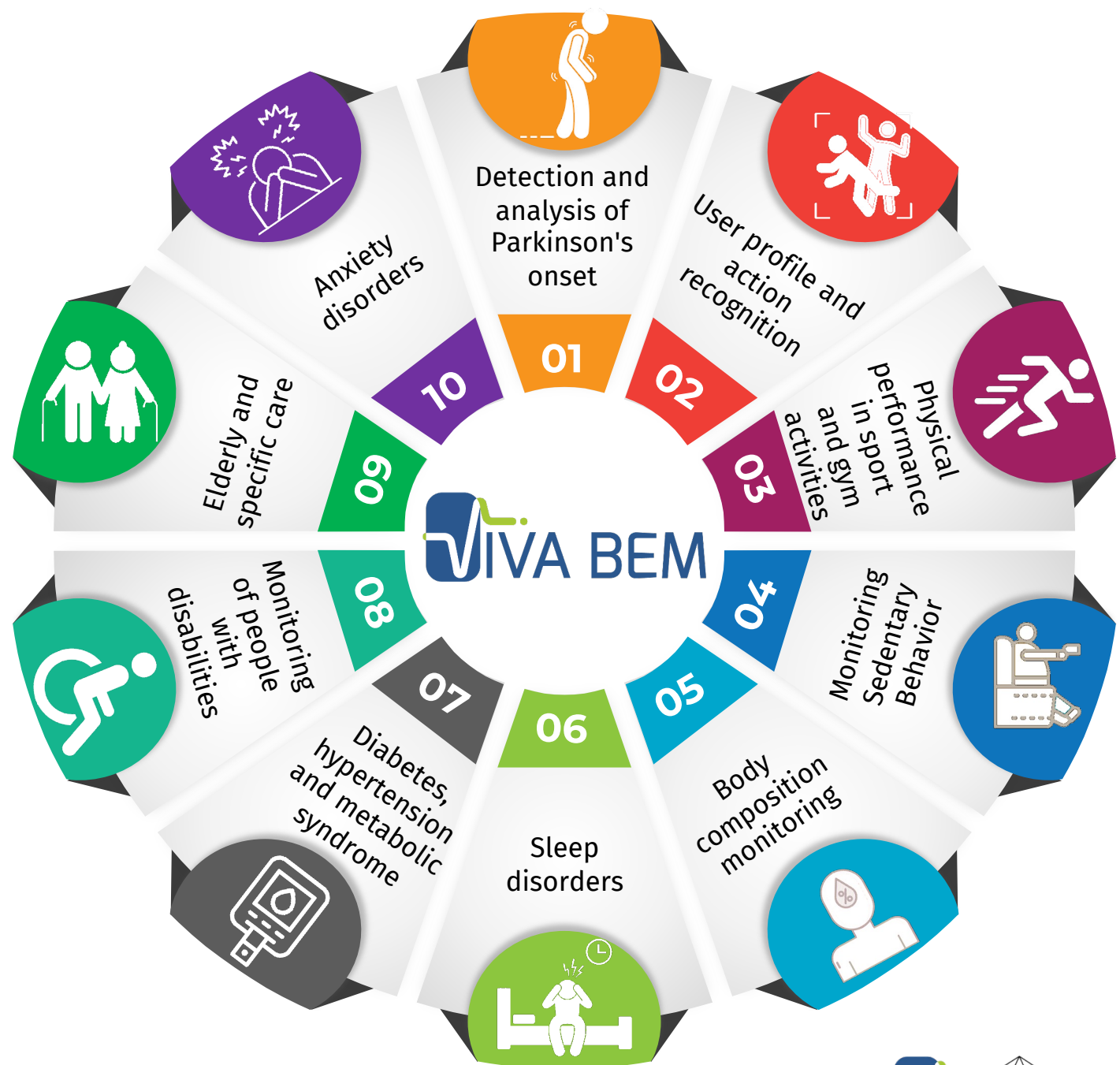
**EXPLAINABLE  
ARTIFICIAL  
INTELLIGENCE**

**FEDERATED  
LEARNING**

**SOFTWARE  
ENGINEERING**

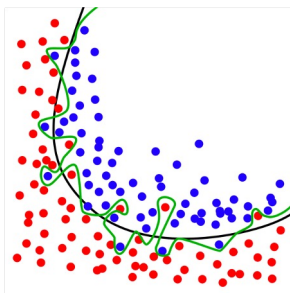


# Cutting-edge applications

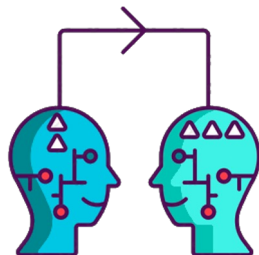




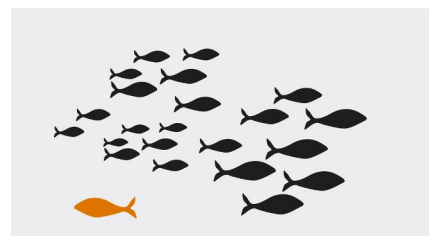
# Challenges



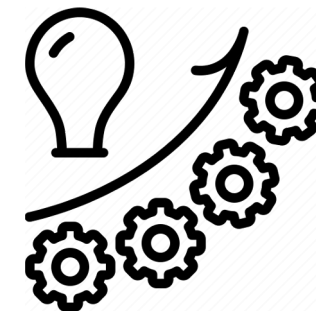
Avoid overfitting and look for accurate forecasts



Transfer Learning



Identify and remove outliers to get an accurate model



Data augmentation techniques, so that better deep learning models can be built



Self-supervised learning, extracting useful representations from unlabeled data



One-shot or few-shot learning for building models with extremely limited data



# For more information



Search



START GET TO KNOW TEAM NEWS SCIENTIFIC PRODUCTION APPLICATIONS OPPORTUNITIES

## PARA SAÚDE E BEM-ESTAR

PHD: AI AND FEDERATED LEARNING

UNICAMP PUBLISHES ARTICLE ABOUT THE...

OPENING OF THE HUB VIVA BEM R&D LABO...

VIVA BEM DATA COLLECTION STAGE

VIVA BEM WORKS / H.IAAC



## Opening of the Hub Viva Bem R&D Laboratory

Unicamp, in partnership with Samsung, opens a Research and Development laboratory in Artificial Intelligence...

SEE MORE

Viva bem: Hub of Artificial Intelligence for Health and Well-being, Unicamp, 2023





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ARTIFICIAL E ARQUITETURAS  
COGNITIVAS





# TEAM



**Leandro Villas**  
Coordinator  
Distributed Learning



**Paula Costa**  
Cognitive  
Architectures



**Esther Colombini**  
Learning in  
Cognitive  
Architectures



**Edson Borin**  
Knowledge  
Representation



**Sandra Avila**  
Natural Language  
Processing



**Marcos Raimundo**  
AI for Finance



**Marcelo Reis**  
AI for Marketing

Team of 23 professors who are experts in AI, Cognitive Architectures, Sensors and IoT. Five are listed among the top 2% most influential scientists in the world, according to a study by Stanford University

**31**

PhD  
researchers



**16**

PhD  
candidate



**17**

Master's  
students



**24**

Undergraduate  
students



# Research Lines

## DISTRIBUTED LEARNING

Develop models and algorithms that allow data collected at the edge to be aggregated and processed in a distributed manner.

## KNOWLEDGE REPRESENTATION

Find stable, compact and interpretable representations for data coming from input sensors from different domains.

## AI FOR MARKETING

Study of automatic ad allocation systems based on behavioral analysis obtained through data from mobile devices.



## COGNITIVE ARCHITECTURES

Development and adaptation of architectures for use in the context of mobile devices.

## LEARNING IN COGNITIVE ARCHITECTURES

Study of learning algorithms that can be used in the context of cognitive architectures.

## AI FOR FINANCES

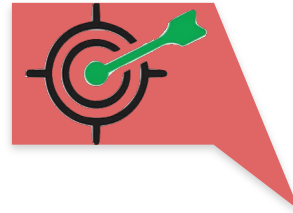
Study of automatic financial models that promote financial inclusion by ensuring impartiality, data security and privacy.

## NATURAL LANGUAGE PROCESSING

Construction of databases in Portuguese and application of ML techniques to find patterns and extract information from data in Portuguese.

# Main Objectives

Promote innovative and disruptive research in AI and Cognitive Architectures;



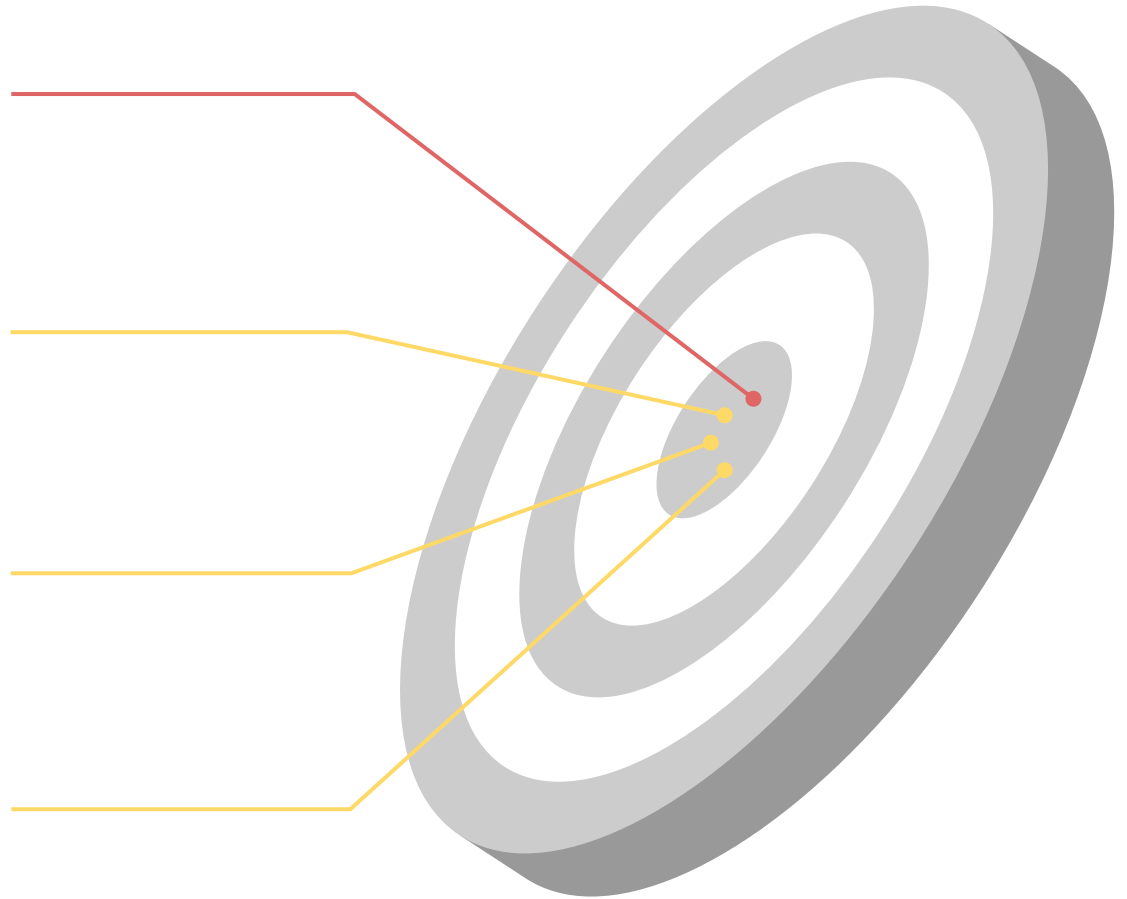
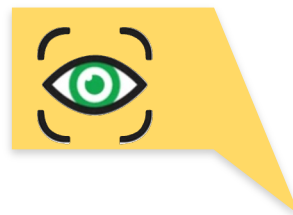
New methods and algorithms to be incorporated into mobile and wearable devices;



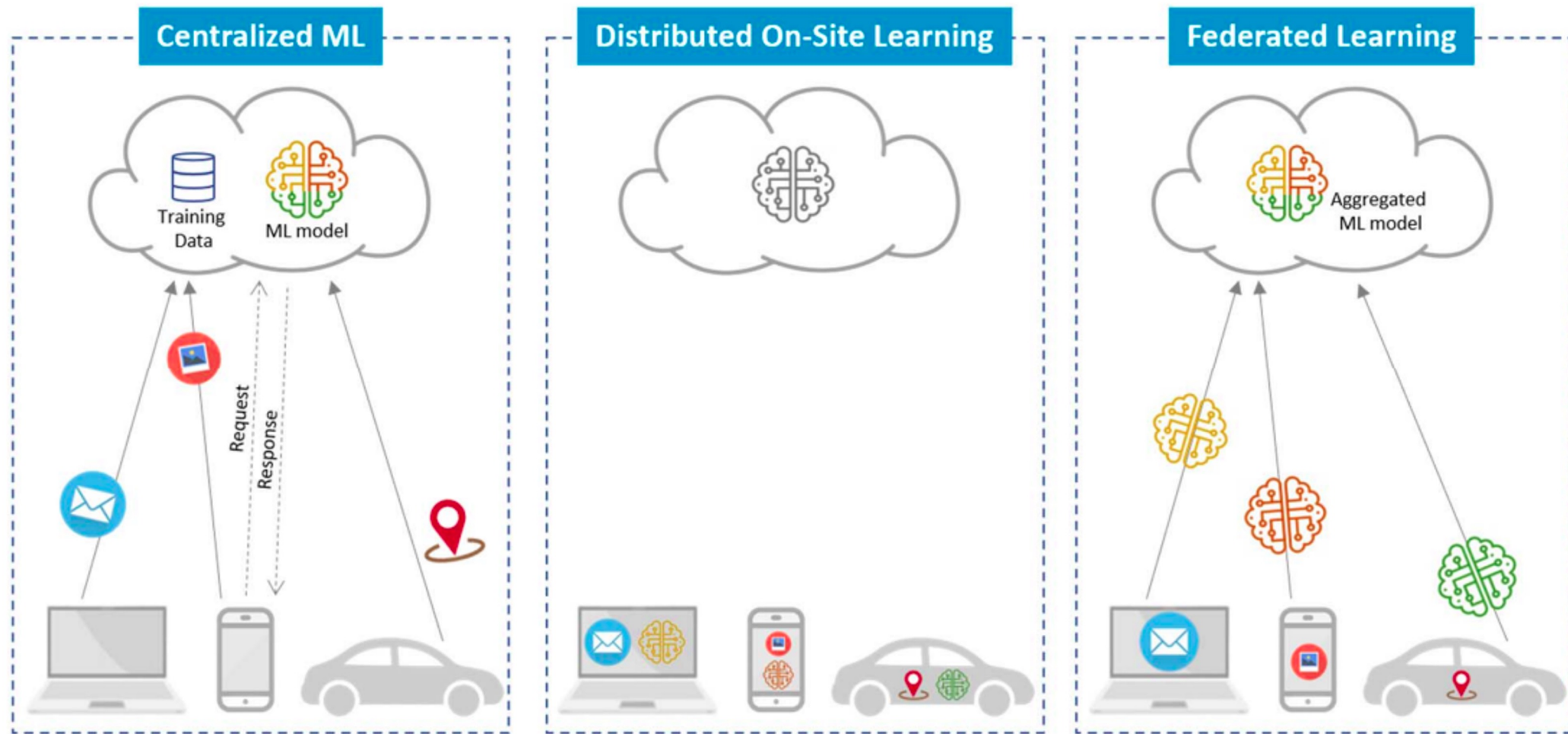
Creation of databases for machine learning algorithms for all research areas.



Dissemination of knowledge and training of specialized professionals in an area of very high demand.



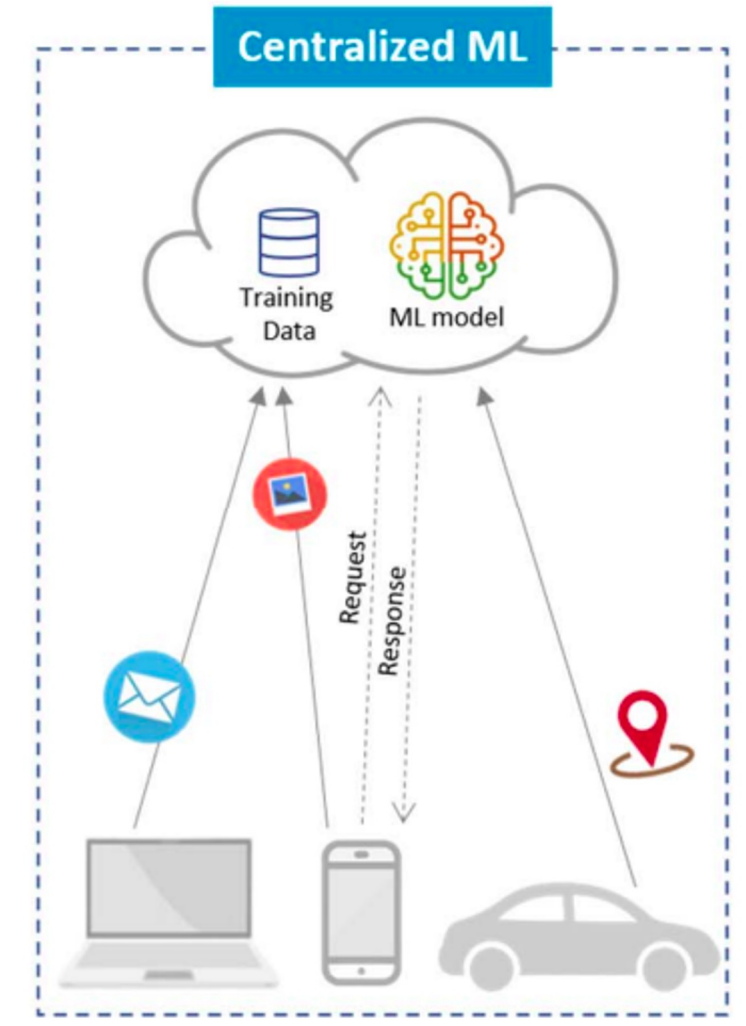
# ARCHITECTURES FOR ML



Sawsan Abdulrahman, et al. A survey on federated learning: The journey from centralized to distributed on-site learning and beyond. IEEE Internet of Things Journal, 8(7):5476–5497, 2021.

# CENTRALIZED ARCHITECTURE

- **Overview:** *end-users (i.e., clients) send their data to a central server (i.e., cloud) to train a machine learning model*
- **Advantage:** *more efficient and robust models due to the access of the whole dataset*
- **Limitation:** *problems related to data privacy*



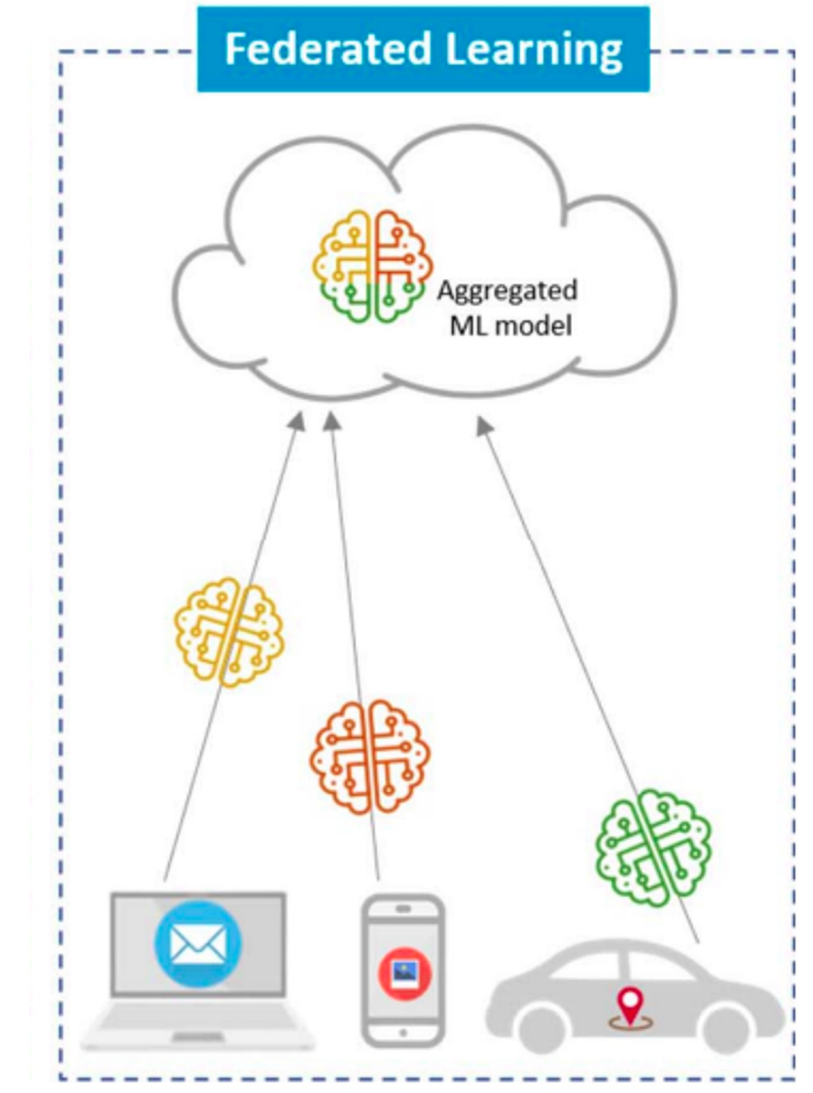
# DISTRIBUTED ARCHITECTURE

- **Overview:** *end-users train a local model with their local data without any data sharing between users and the central server*
- **Advantage:** *ensure data privacy, since no information is shared with anyone*
- **Limitation:** *limited model's performance since it just relies on the data of a particular user; no generalization is provided*



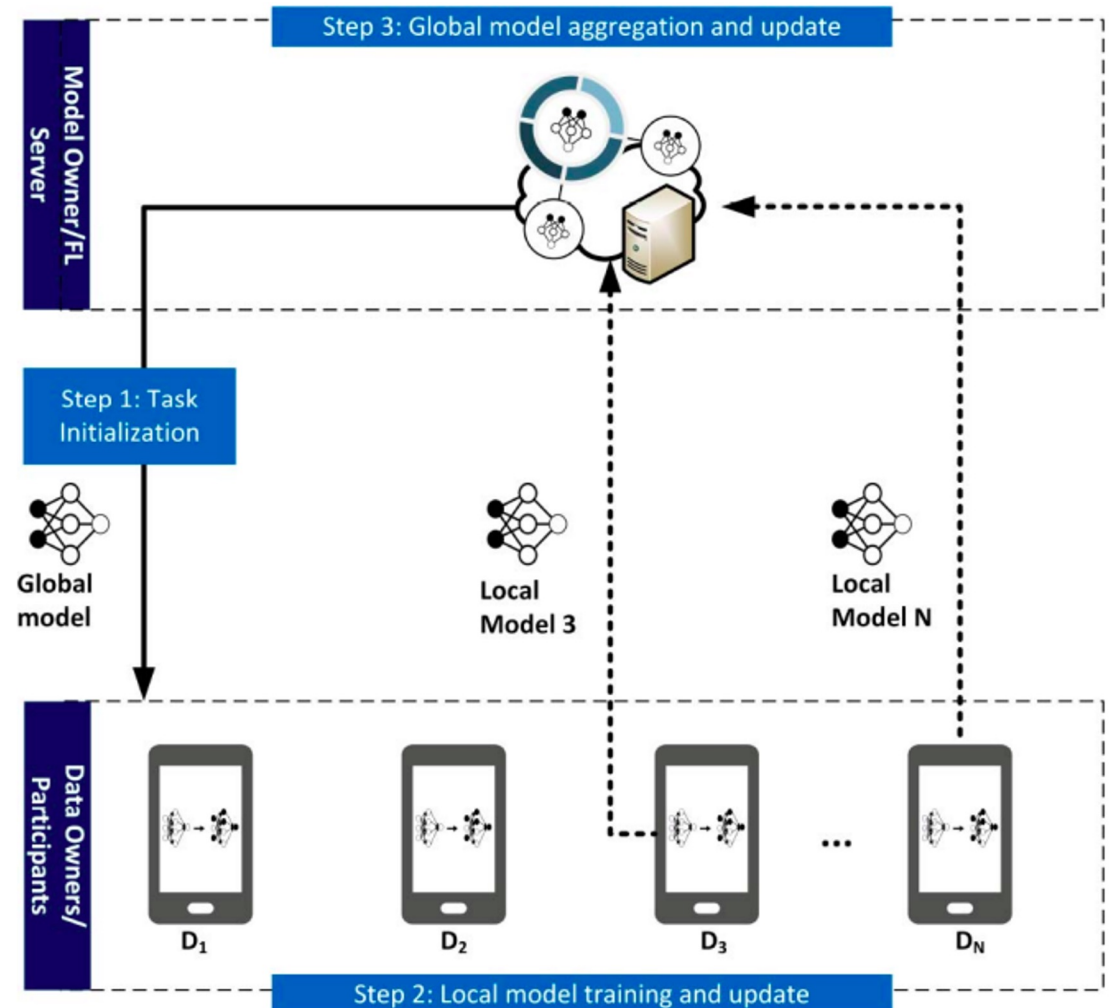
# FEDERATED LEARNING ARCHITECTURE

- **Overview:** end-users train the model with their local data, then their trained model are shared with a central server to be aggregated and updated.
- **Advantage:** ensures privacy and keeps an efficient performance due to the model sharing of each user
- **Limitation:** needs robust aggregation algorithms and communication protocols to enable an efficient collaborative learning without increasing the communication overhead



# FEDERATED LEARNING

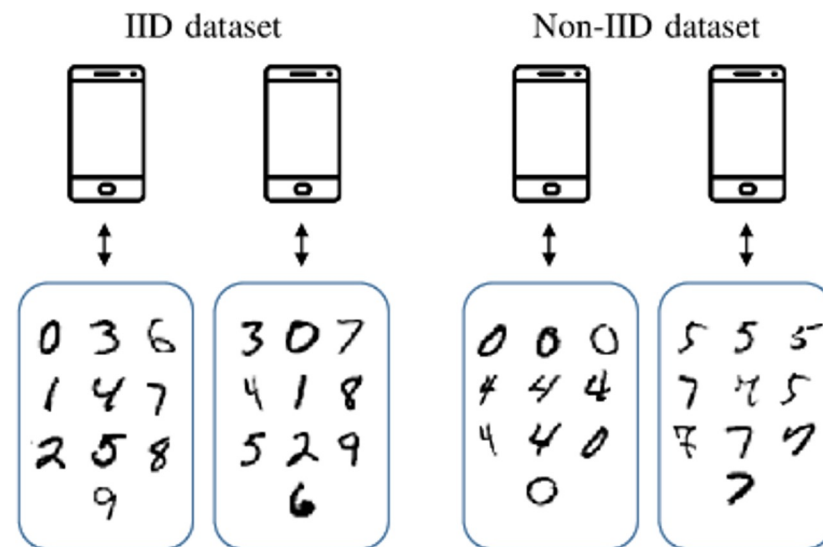
- **Step 1: Initialization**
  - *Server sends the machine learning model to clients*
- **Step 2: Local training**
  - *Clients who received the model train it using their local data*
- **Step 3: Model aggregation and update**
  - *Clients send the trained model to the server to be aggregated*





# Challenges in FL

- Different clients might have different data distributions
- Overfitting model at clients, consequently decreasing its overall performance
- **How to address such a problem?**
  - Improving data representation at clients (*i.e.*, *data sharing and data augmentation*)
  - Developing robust model aggregation algorithms
  - Designing efficient systems and protocols (*i.e.*, *clustering similar clients, etc*)



# Challenges in FL

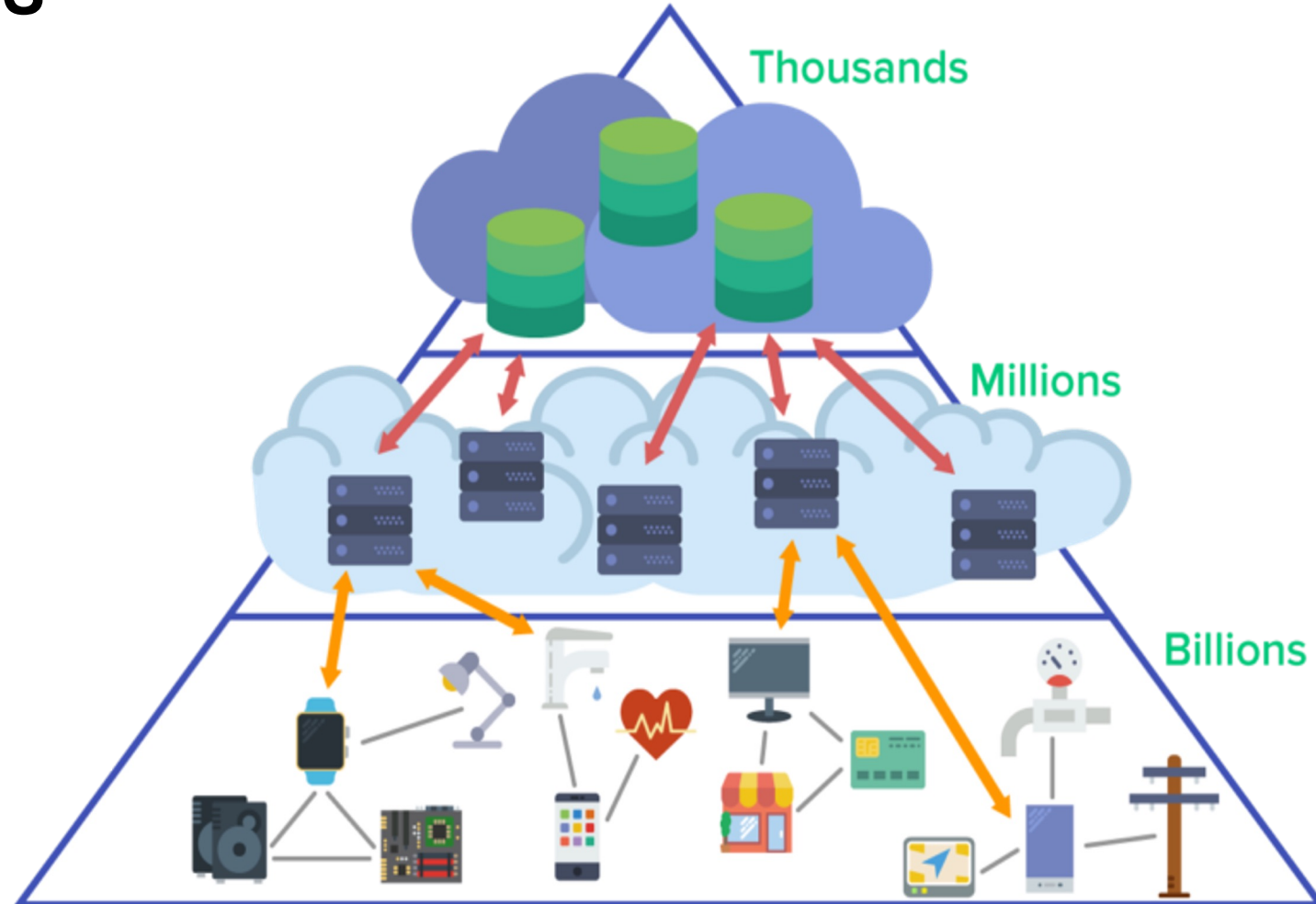
## HETEROGENEOUS DEVICES

### Device characteristics:

- Processing power
- Memory
- Communication
- Mobility
- Sensors

### Problems

- *Energy constraints*
- *Introduce latency*
- *No reliable model updates*
- *Heterogeneous models*



# Challenges in FL

## COMMUNICATION COSTS AND OVERHEAD

- **Client selection**

The goal is to select the clients properly to increase the convergence of the model while reducing the number of model transmissions

- **Number of communication rounds**

*The goal is to reduce the number of iterations between server and clients to achieve model convergence*

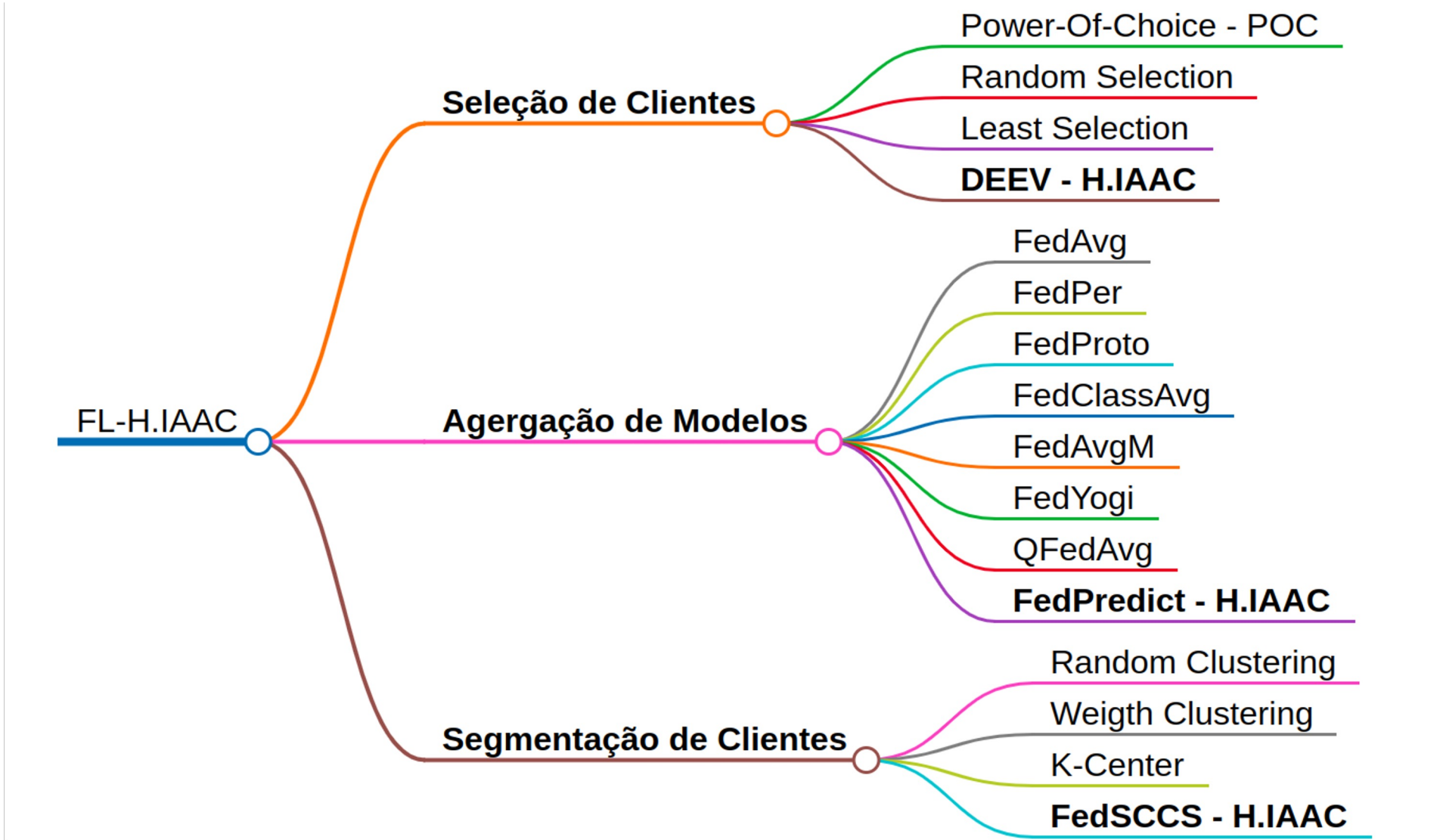
- **Model representation**

*The goal is to reduce size needed to represent the model without decreasing its performance*

# Main Contributions

- **Framework for Developing and Evaluating Federated Learning solutions**
  - *A framework that allows both the development and implementation of federated learning solutions. Such framework is the development basis for all solutions implemented developed by our group.*
- **Adaptive Client Selection Algorithm for Federated Learning**
  - *Client selection is an essential step in federated learning. Therefore, an adaptive solution was developed to improve not only the model performance (i.e., convergence) but also the communication overhead generated by federated learning.*
- **Efficient Model Aggregation Algorithm for non-identically balanced and distributed data**
  - *Non-IID data, is a key challenge in federated learning that needs to be addressed. Therefore, an efficient algorithm was developed to allow good model performance even in scenarios with non-IID data*

# FL-H.IAAC - Implemented Solutions



# Current Activities

- **Algorithm for Clustering Clientes based on Model Similarity**
- **Context-aware Client Selection for Resource Constraints Devices**
- **Federated learning with Model Heterogeneity**
- **A Testbed for Federated Learning with heterogeneous devices**

# Testbed Overview (Early Stage)

- 5 Raspberry Pi 3 Model B and 1 Notebook acting as the orchestrator.
- Each Raspberry Pi is equipped with a Docker installation and connects via WiFi to a local network, enabling the loading of containers at any time.
- The entire Federation system can be managed using the Flower Framework directly from the orchestrator notebook.
- Parameters such as the model to be used, client selection method, and number of rounds **can be adjusted.**
- Additionally, a dashboard offers real-time visualization of key Federated Training characteristics: Latency, bandwidth usage, selected clients, and training accuracy.

# Teste inicial FL-H.IAAC



# FL-H.IAAC - Testbed (Early Stage)

Deployment of solutions on heterogeneous devices.

Orchestrator to distribute clients across available devices.

- Smartphones
- Wearables
- Notebooks
- IoT Devices

Interface for real-time analysis and visualization



# Next Activities

- Open the testbed for collaborators to evaluate their solutions
- Improve the scalability of the testbed including more devices with different computing resources
- Development of more efficient solutions for federated learning, including:
  - Model compression
  - Solutions based on heterogeneous models
  - Fairness and balance in client selection
  - Context-aware solutions (computational and communication resources of devices)
- Explore multi-task federated learning and also deep reinforcement federated learning



*Grazie!*

*Thank  
you!*